

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

PCT

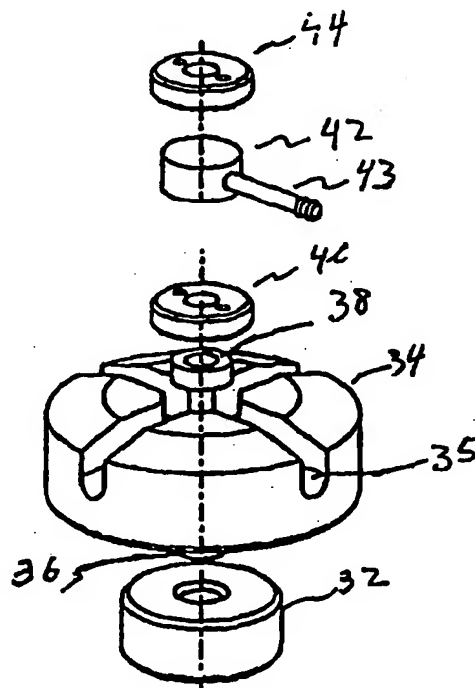
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: A61M 1/00, A61B 5/0478	A1	(11) International Publication Number: WO 98/08554 (43) International Publication Date: 5 March 1998 (05.03.98)
(21) International Application Number: PCT/US97/14931 (22) International Filing Date: 25 August 1997 (25.08.97) (30) Priority Data: 08/705,566 29 August 1996 (29.08.96) US (71) Applicant: MEDTRONIC, INC. [US/US]; 7000 Central Avenue Northeast, Minneapolis, MN 55432 (US). (72) Inventors: KNUTH, Henricus, M.; Caeciliagracht 15, NL-6465 EH Kerkrade (NL). GIJSBERS, Johannes; Fonielsweg 13, NL-6151 EH Mustergeleen (NL). (74) Agents: JARO, Michael, J. et al.; Medtronic, Inc. MS301, 7000 Central Avenue Northeast, Minneapolis, MN 55432 (US).	(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.	

(54) Title: BRAIN STIMULATION SYSTEM HAVING AN IMPROVED ANCHOR FOR A LEAD OR CATHETER**(57) Abstract**

A connector system for anchoring a tubular lead or catheter member within a cranial burr hole in a patient, providing for direct in-line positioning and reliable fixation of the member with respect to the patient's skull after the distal end portion has been accurately positioned within the patient's brain. The system includes a baseplate, with or without adaptor to adapt for the burr hole size, and a two element fixation subassembly positioned within the baseplate for enabling fixing of the member to the baseplate after the member has been accurately positioned with a stereotactic instrument. The fixation subassembly suitably includes a compression seal made of a compressible material and a compression screw which, when screwed down into the baseplate, exerts an inwardly radial force on the compression seal, causing the seal to fixedly engage the lead or catheter member within the axial lumen of the baseplate. This fixation subassembly enable reliable anchoring of the member without any axial displacement of the distal portion. Following the compression fixation, the member is connected, preferably at right angles, and routed to a suitable electrical stimulator or fluid source.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

WO 98/08554

PCT/US97/14931

BRAIN STIMULATION SYSTEM HAVING AN IMPROVED ANCHOR FOR A LEAD OR CATHETER

FIELD OF THE INVENTION

5 This invention relates to a brain stimulation system, more particularly, to a system for anchoring a brain stimulation lead or cranial catheter which has been implanted through a cranial burr hole and in a selected target area of a patient's brain.

BACKGROUND OF THE INVENTION

10 Systems for providing either electrical stimulation of the brain or coupling fluid to or from the brain are coming into increased use for various purposes. Electrical stimulation of the brain is utilized for relief of chronic pain and treatment of movement disorders. A typical electrical brain stimulation system comprises a pulse generator operatively connected to the brain by a lead. The lead has one or more electrodes at its distal end, designed to be implanted within the patient's brain at
15 a precise location, so that the electrode or electrodes are optimally and safely positioned for the desired stimulation. The lead is connected to the pulse generator at its proximal end, and also needs to be anchored with respect to a burr hole drilled in the patient's skull or cranium, in order to hold the distal end which carries the electrodes reliably secure. Likewise, in the case of a catheter for providing fluid to the
20 brain or for providing drainage, it is necessary to be able to secure the distal portion of the catheter that passes through the skull and transfers the fluid at a predetermined exact location within the brain. Still further, for a combined catheter and lead member, such secure and reliable anchoring of the member so that the distal end is precisely located within the skull, is very important.

25 Reference is made to U.S. Patent No. 5,464,446, "Brain Lead Anchoring System," assigned to Medtronic, Inc., which is incorporated herein by reference. The referenced patent illustrates an effective lead anchoring system, and it discusses the method of providing access through the skull by drilling a burr hole with a cranial drill, inserting a stimulation lead through the burr hole and positioning it so
30 that the electrode or electrodes are at the desired stimulation site. The lead is

WO 98/08554

PCT/US97/14931

2

positioned using a stereotactic instrument, which permits a very precise movement within the brain. Once the lead is positioned and tested to determine that the results of stimulation are satisfactory, it is critical that the lead is not to be moved, since even the slightest displacement can result in less than optimal results, and even injury to the brain.

The referenced anchoring system shows a basic anchor for fixing the lead in place with the distal portion extended through the cranial burr hole, and then securing it by bending it into a slit such that it is held by a friction fit. However, neither this system, nor any other known system, provides a reliable way for accurately securing the lead, or catheter, before it is bent into the fixation position. Thus, such systems do not provide against small movement of the distal end of the lead at the time of fixating, or securing the lead in place. What is required, and what has remained a substantial need in the art, is a system for accurately placing a cranial lead directly through the skull "in line," and without kinking, and which enables securing of the lead or catheter precisely in position relative to the brain before it is removed from the stereotactic instrument and connected either to a stimulator or fluid source. The cranial connector of this invention accomplishes this, and provides a sealed feedthrough for electrical or fluid connection from outside the skull to an area within the skull.

SUMMARY OF THE INVENTION

It is an object of this invention to provide a system for anchoring a brain stimulation lead or catheter, either one of which is hereinafter referred to as a "member", so that the distal end of the member can be securely fixed in position beneath a hole in the patient's cranium at precisely the desired location. More specifically, the object is to enable such secure fixation before release of the member from the stereotactic instrument used to position the member, and before final securing of the member so as to interconnect with a therapeutic device.

In accordance with the above object, there is provided a system for anchoring a cranial member in the form of a brain stimulation lead or fluid catheter so that the distal end of the member can be accurately positioned and held in place while

WO 98/08554

PCT/US97/14931

3

the member is disconnected from a stereotactic instrument and securely positioned for connection to a pulse generator, fluid source or any other type of therapeutic device. The system has a baseplate with an upper portion which rests on and is screwed into the skull, and a lower portion which is positioned through a cranial hole that has been drilled to receive it. An adaptor ring can be secured to the baseplate lower portion in the situation where a larger hole needs to be drilled, either due to problems with the initial hole or simply due to the preference of the physician. The baseplate has an axial lumen extending therethrough configured to receive the member, and a receiving cavity in the upper portion. A fixation subassembly, preferably in the form of a compressible seal with a central lumen therethrough which is configured for snugly receiving the member, and a compression screw for holding the seal under compression, is positioned within the receiving cavity and tightened to anchor or lock the member with a radial compressive force on a portion of the member that is within the baseplate lumen. With this system, the member can be accurately positioned and, while it is still maintained in line by the stereotactic instrument with which it is positioned, it is secured with respect to the patient's skull.

In a preferred embodiment, the baseplate has an upper cylindrical portion with an axial lumen therethrough, and a lower extending central flange with a axial lumen therethrough. The baseplate, with or without an adaptor, is fitted into the burr hole in the patient's skull. The baseplate has at least one path, and preferably four roughly orthogonal paths extending radially from the upper lumen to the outside of the upper cylindrical portion. A connector element, for connecting either a stimulating lead or a fluid-carrying catheter, is positioned within the upper portion for making a connection from the member through a selected one of the radial grooves. The fixation sub-assembly includes a compression seal which is positioned on top of the baseplate, and a compression screw which is positioned on top of the compression seal, before the connector is installed. The compression screw is threadedly screwed into the baseplate to compress the seal, thereby securing the seal to the in-line member and thus securing the member to the baseplate and the skull. After the in-line member has been precisely secured without movement, the connector

WO 98/08554

PCT/US97/14931

4

is then severed above the compression screw cap, and the member is connected through the connector either to a pulse generator or to a fluid source or drain device.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view showing an anchoring or fixation system in accordance with the invention which provides anchoring or fixation of a medical electrical lead or catheter to a patient's skull, the lead or catheter coupled at its distal end to a patient's brain and coupled at its proximal end to an implantable medical device.

FIG. 2A is an exploded perspective view showing the component parts of a fluid catheter anchoring system embodiment of this invention; FIG. 2B is a cross-sectional view of the system embodiment of FIG. 2A with the parts joined together.

FIG. 3 is an exploded perspective view, with some of the elements shown in cross-section, of the system illustrated in FIGS. 3A and 3B, and including a tool used in assembling the system.

FIG. 4A is an exploded perspective view of the component parts of a lead anchoring system embodiment; FIG. 4B is a cross-sectional view of the assembled system illustrated in FIG. 4A.

FIG. 5 is a block diagram of a fluid delivery system utilizing the anchoring system of this invention.

FIG. 6 is a cross-sectional view of another embodiment of a stimulation lead anchoring system with an extender connected within the anchoring system.

FIG. 7 is a flow diagram of steps taken in carrying out the method of this invention whereby a stimulation lead or fluid catheter is anchored in place with respect to a patient's skull.

The FIGS are not necessarily to scale.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to FIGS. 1A, 1B and 2, there is shown an anchoring or fixation system 1 in accordance with this invention which provides anchoring or fixation of a medical electrical lead or catheter 2 to a patient's skull 3. Typically such

WO 98/08554

PCT/US97/14931

5

a lead or catheter is coupled at its distal end to a patient's brain and coupled at its proximal end to a medical device 4 or the like. In this embodiment the medical device 4 is implantable, although any suitable medical device may be used, including implantable as well as external pulse generators, drug administration system, draining systems or any other such device which emits a desired medical therapy.

Turning now to FIGS. 2 through 7, FIG. 2 shows several views of the anchoring or fixation system 1 in accordance with this invention. Installation of the anchoring system is preceded by drilling of a suitable hole in the patient's skull. Preferably the hole size is selected as the baseplate screw size, which is a standard. However, if a larger hole size is preferred by the physician, an adaptor ring is used. The adaptor ring is mounted to the baseplate prior to mounting the baseplate on the patient's head, to avoid the difficulty of handling such a small item later during the surgery. Various ring sizes are available for adapting to the hole size to be used. Baseplate 34, either alone or, as shown connected to screw adapter 32, is positioned within a burr hole within the patient's cranium, the cranium being illustrated as C. The baseplate is screwed into the patient's skull. Adapter 32 is typically one of two different sizes, corresponding to the diameter of the burr hole that has been drilled. The adapter has an axial, or central lumen therethrough, the wall of the lumen being threaded to receive the lower flange portion 36 of baseplate 34. Baseplate 34 has an upper portion with a diameter greater than the diameter of the screw adapter, and a lower axially extending flange portion 36 which is threaded so as to fixedly engage the screw adapter 32 that has been placed within the burr hole. As is seen also in FIG. 2, baseplate 34 has an upper axial lumen with a diameter greater than the lumen portion of flange 36. Baseplate 34 also has a plurality of radially extending slots, or paths 35, adapted to fit a radial extension, or arm 43 of connector 42, as discussed hereinbelow. A compression seal 38 likewise has an axial lumen to accommodate the lead or catheter member 28. Seal 38 is suitably made of silicone rubber and has an inner diameter adapted to just receive the outer diameter of the lead or catheter member. Seal 38 is compressible, so that when compressive force is applied from top and bottom, i.e., axially, it expands radially, providing a tight compressive fixation

WO 98/08554

PCT/US97/14931

6

with the member 28. Compression screw cap 40, which also has an inner lumen to accommodate member 28, is placed down over top of seal 38, and is threaded to engage threads on the upper lumen of baseplate 34. As seen particularly in FIG. 2, compression screw cap 40 has a notch 41 to restrain seal 38 from being squeezed

5 ~~radially outwardly, such that the axial compression causes seal 38 to extend plastically~~ inwardly and provide a tight fixation with the member 28. Compression screw 40 is screwed into place by tool 46, which has engaging spokes 47 which seat into complementary holes shown in screw 40.

10 A 90 degree fluid connector element 42, having a radially extending arm 43, is placed on top of compression screw 40, with arm 43 being positioned in a selected one of the radial slots, or paths 35. Connector 42 has a central axial opening in the bottom for communicating with the lumen of baseplate 34, which opening connects through arm 43 to provide a right angle path for fluid. In practice, another catheter-like tube is connected to the outer end of arm 43, to provide fluid transport to

15 or from the anchoring assembly and member 28. An end cap 44, threaded on its outer circumference, is then screwed into the upper lumen of baseplate 34 with tool 46, to tightly secure the entire assembly.

Still referring to FIGS. 2A, 2B and 3, it can be now seen how the fixation assembly is utilized for direct in-line and accurate positioning of the member

20 28, without movement of the member at the time that it is secured in place. The member is threaded through elements 32, 34, 38 and 40, which are loosely pre-mounted, and secured to a stereotactic instrument (not shown), the use of which instrument is well known in the art. Upon accurate positioning of the distal end of the catheter or lead, and verification of such positioning, the member is held in a fixed in-

25 line, or axial position by the stereotactic instrument. Note that at this point, there has been solely direct vertical, or in-line positioning of the member, such that at no time need it be bent at any point, which is very important in order to maintain it in a kink-free condition. The outer bottom portion of baseplate 34 rests on the top of the cranium C, as illustrated in FIG. 2B. Compression seal 38 is placed into position, and

30 then compression screw 40 is placed down on top of seal 38, and screwed into

WO 98/08554

PCT/US97/14931

7

position with the wrench, or tool 46. Note that wrench 46 is longitudinally slit, so that it can be placed around the member 28. At this time, with a proximal portion of a catheter still being secured to the stereotactic instrument, the member is now securely fixed into position within baseplate 34, due to the radially inward compressive force of seal 38. The stereotactic instrument is then released from the catheter, and the catheter is severed or cut just a short distance above where it extends upward from the upper surface of compression screw 40. The distance that the cut catheter protrudes above the surface of screw 40 corresponds to the height of the axial portion of connector 42, to ensure that when connector 42 is next placed down on top of the surface of screw 40, the catheter makes good fluid connection into the axial body portion of connector 42. The arm 43 of connector 42 is positioned within a selected one of the slots 35 of baseplate 34. End cap 44 is then screwed into place on top of connector 42 with tool 46, resulting in the fully assembled anchoring system as shown in FIG. 2B. An external catheter, not shown, can then be connected to the tip or distal end of arm 43, to provide fluid communication between the brain and an external fluid source or sink.

Referring now to FIGS. 4A and 5B, there are shown respectively an exploded view and a cross sectional view of the same anchor assembly as shown in FIGS. 2A and 2B, with the exception of the connector element. In this embodiment, the connector is adapted to provide for a stimulus lead for transmitting stimulus pulses to the brain, as opposed to a fluid carrying catheter tube. Instead of fluid connector 42 as illustrated in FIGS. 2A and 2B, there is provided a lead guide element 48, shown in perspective in FIG. 4A and in cross-section in FIG. 4B. Element 48 is a basically cylindrical element which has a bottom opening which communicates with the lumen of compression screw 40, and which provides a 90 degree angle having an output directed radially. Lead guide element 48 has its radial opening positioned to lead directly to a selected one of the slots 35, so that the lead can be guided through element 48 and passed through the path 35 roughly parallel to the patient's skull. In application, the lead 50 is accurately positioned within the patient's brain, and tests are performed to verify the position. At this point, the lead 50 remains fixed to the

WO 98/08554

PCT/US97/14931

8

stereotactic instrument which holds it firmly and reliably in the exact chosen position, as illustrated in FIG. 4B. Elements 32, 34, 38 and 40, the same as described with respect to FIGS. 2A and 2B, and having coincident axial lumens through which the lead has already been positioned, are then put into place and secured as described
5 ~~above. The lead now being secured by compression seal 38 and the downward force~~
of compression screw 40, the lead is released from the stereotactic instrument. Following this, the lead is passed through lead guide 48 and the guide is positioned in place, with its opening communicating directly with a selected groove 35. End cap 44 is then secured to hold the entire assembly firmly fixed. The portion of the lead
10 external to the anchoring assembly can then be manipulated as desired, e.g., connected to an extender or extension lead in a known fashion.

Referring now to FIG. 5, there is shown a schematic diagram illustrative of a fluid system having a connector 42T with a "T" pathway for providing either fluid drain or fluid delivery. Connector 42T has, on the left, an outlet 54 with
15 connector elements for connecting a drainage tube thereto. Coming out the right as seen in FIG. 5, there is a fluid delivery pathway terminating in connector elements 53. A catheter 56 is shown connected to fluid delivery arm 53, the other end of the catheter being connected to a supply source 58. Supply 58 has a puncturable resealable membrane 60, which communicates with a reservoir 62 for holding a drug
20 or other desired medicament in fluid form. A pump and battery are illustrated together at 64. The reservoir 62 can be filled by a syringe 66, in a known manner.

Referring now to FIG. 6, there is shown a diagram of an alternate embodiment of the fixation or anchor system of this invention for fixing a stimulation lead in place. In this embodiment, screw adapter 70 corresponds to prior screw
25 adapter 32, and baseplate 72 corresponds to baseplate 34. Baseplate 72 has an upper lumen which accommodates compression seal 74 and compression screw cap 76, which are screwed into position to hold lead 28 when it has been accurately positioned. After this, and after disengagement of lead 28 from the stereotactic instrument, the lead is severed, or cut just a short distance above where it extends
30 from the upper surface of compression screw cap 76. Reference is made to the

WO 98/08554

PCT/US97/14931

9

illustrated coil conductor shown extending above screw cap 76. A contact screw 84 is screwed into a receiving opening 85, the lower length of the screw having a diameter so as to make firm contact with the coil loops at the cut end of lead 28. The screw, which is metallic, is in firm contact with an upper metallic portion 79 of baseplate 32.

5 ~~An external lead, or extender lead, illustrated at 80, is positioned at radial path 78,~~
and securely fixed by set screws 86, 87, which make contact with the conducting coil
78 of lead 80. In this manner, a secure mechanical and electrical connection is made
from the coil conductor of lead 28 to the extender coil portion 78. This embodiment
has the advantages of avoiding extensive subcutaneous protrusions, and avoiding
10 additional surgery as normally needed to hide the lead to lead extender interface
connection. Tunneling for a subcutaneous lead extension can be done in the normal
manner, taking the lead extension out just at the point where it is connected into the
connector. Notice also that this arrangement allows easy lead replacement, by simply
disengaging extender 80 and undoing the fixation system, so as to provide for
15 replacement and positioning of a new lead if desired.

There have thus been illustrated several system embodiments for
providing easy, efficient and in-line placement of a brain stimulator lead or brain
catheter, and particularly providing for chronic fixation of the lead or catheter member
while it is still being held in place by the stereotactic instrument. After accurate
20 placement of the member within the brain, the member is reliably secured to the
fixation assembly, while still being held in position by the stereotactic instrument.
The feat of fixation without movement is accomplished by the combination of a
compression seal and compression screw cap, which provides a radially inward
compressive force on the member which is being maintained "in-line," thereby
25 essentially eliminating any movement of the member due to bending or other tensions
during fixation. Note also that the apparatus of the invention, in providing that the
member is maintained vertical and axially in line up until it is secured in place,
ensures that the installation is kink-free. In the fluid delivery or drain embodiment, a
90 degree pathway is provided by a fluid connector element, meaning that the catheter
30 itself is never bent at 90 degrees.

WO 98/08554

PCT/US97/14931

10

Referring now to FIG. 7, there is illustrated a flow diagram of the steps taken in securing a lead/catheter member with the system of this invention. As illustrated at 90, the components of the system and any other supporting apparatus are assembled. This means assembling all of the constituent parts of the anchoring assembly for the chosen embodiment, the bifunctional tool, the catheter or lead to be employed, the stereotactic instrument, and any necessary test equipment. As shown at 91, the patient's head is fixed, and the stereotactic instrument, or frame is mounted on the head. Following this, the burr hole is drilled through the patient's skull, as indicated at 92. If the physician selects a hole size greater than the standard size, an appropriate adaptor is selected.

With everything in place, at 94 the physician premounts the baseplate (including adaptor, if necessary), seal 38 and compression screw cap 40. Following this, the catheter or lead member is positioned, using the stereotactic instrument, to the desired location in the brain, as indicated at 95. Following this, and while the lead is held secure by the stereotactic instrument, at 96 the member is secured to the skull by placing the compression seal in the baseplate and screwing on the compression screw cap, thus causing radial compression against the outer wall of the member and holding it in fixed position with respect to the baseplate, and thus the patient's skull. Following this, the catheter or lead member is released from the stereotactic instrument, and the instrument is in turn removed from the patient, as shown at 97. A 90 degree connection of the member is then made, as illustrated at 98. For a first fluid catheter embodiment, this means severing the catheter just above the compression screw upper surface, and then placing a 90 degree fluid connector element in place. For a first lead embodiment, this step involves threading the lead through a 90 degree lead guide, and positioning the lead guide in place on top of the compression screw surface. Following this, as illustrated at 99, the assembly is secured by screwing in an end cap to the top of the baseplate. The procedure is completed by routing the member, either fluid catheter or electrical stimulation lead, to a desired extender member and thence to an external device, c.g., a fluid pump or a stimulus generator, as shown at 100. Alternately, for the anchoring embodiment of FIG. 5, a 90 degree

WO 98/08554

PCT/US97/14931

11

connection for a stimulation lead is made by inserting an extender conductor laterally through a path in the baseplate to make electrical contact with the conductor of the stimulus lead, following which electrical and mechanical connection of the lead conductor and extender conductor is secured as described above.

5 ~~There has thus been disclosed a connector system of accurately and~~
reliably connecting a cranial catheter or lead member, whereby the distal end of the
member is reliably positioned at a chosen location within the patient's brain. The
connection system of this invention provides an effective feedthrough connector for
10 as through a brain catheter. The connector system of anchoring same enable straight
in-line positioning of the member, and particularly enable accurately securing the
member without movement after the member has been positioned to the optimal
location. It should be understood that the present invention is not limited to use only
in anchoring electrical leads, but may be used in many of various types of therapeutic
15 or diagnostic devices, including muscle, nerve or defibrillation leads. It is to be
further understood, moreover, the present invention may be employed in many of
various other types of therapeutic or diagnostic catheters. For purposes of illustration
only, however, the present invention has been described in the context of electrical
leads and catheters. As used herein, however, the term lead is used in its broadest
20 sense and includes any other elongated member, including a catheter, which may be
usefully introduced into the body.

WO 98/08554

PCT/US97/14931

12

WHAT IS CLAIMED IS:

1. A system for anchoring a tubular lead-type member within a cranial burr hole in a patient, comprising:

a baseplate, the baseplate having an upper cylindrical portion with an upper axial lumen therethrough and a lower extending central flange with a lower axial lumen therethrough, the upper and lower lumens being configured to receive the member, and the baseplate flange being positioned within the burr hole;

fixation means positioned within the upper baseplate portion for fixing the member to the baseplate, the fixation means having a lumen therethrough for receiving the member; and

connector means positioned above the fixation means and within the upper portion for making a connection to the member,

whereby the member can be inserted axially through the fixation means lumen and through the upper and lower baseplate lumens, fixed to the baseplate, and then connected to the connector.

2. The system as described in claim 1, wherein the tubular member is a brain lead for conducting electrical signals, and further comprising an external lead connected to the brain lead at the connector means.

3. The system as described in claim 1, wherein the baseplate comprises an adaptor adapting the baseplate to the burr hole.

4. The system as described in claim 1, wherein the tubular member is a brain catheter for carrying fluids to or from the patient's brain, and further comprising an external catheter connected to the brain catheter at the connector means.

5. The system as described in claim 4, wherein the baseplate comprises at least one radial path, and the connector means comprises a 90 degree fluid connector having an axial portion positioned within the upper axial lumen and a radial portion positioned within the path.

WO 98/08554

PCT/US97/14931

13

6. The system as described in claim 5, wherein the fixation means comprises a compression seal positioned below the connector means and within the upper lumen.

5 7. The system as described in claim 5, wherein the fixation means comprises a screw cap with a first axial lumen therethrough positioned distal to the connector means, and a compression seal with a second axial lumen therethrough positioned proximal to the connector means.

10 8. A system for anchoring a brain stimulation lead so that the distal end of the lead is securely fixed in position beneath a hole in a patient's cranium, comprising:

baseplate means for fitting into and securing to the hole, the baseplate means having an axial lumen extending therethrough configured to receive the lead, and an upper portion having a receiving cavity, and

15 fixation means positioned within the receiving cavity for fixing the lead to the baseplate means, the fixation means comprising a compressible seal having a central lumen aligned with the axial lumen and configured for snugly receiving the lead therethrough, and compressing means for holding the seal under compression, whereby the seal central lumen is compressed to fixedly engage the lead.

20 9. The system as described in claim 8, wherein the baseplate means comprises at least one slot extending radially from the axial lumen, and further comprising connection means for providing a 90 degree path from the axial lumen into the slot, whereby after the lead has been fixed by the fixation means it can be positioned through the slot so as to exit the baseplate at about 90 degrees relative to
25 the baseplate lumen.

10 10. The system as described in claim 8, wherein the compression seal is made of silicone rubber.

30 11. The system as described in claim 8, further comprising right angle guide means for guiding the lead at a right angle to the axial lumen, and securing means for securing the guide means to the baseplate means.

WO 98/08554

PCT/US97/14931

14

12. The system as described in claim 8, wherein the lead is terminated just above the fixation means, and comprising connector means for electrically and mechanically connecting an extender lead to the lead just above the fixation means.

5

13. The system as described in claim 12, wherein the baseplate means upper portion has a pathway for receiving the connector means.

10

14. The system as described in claim 8, wherein the compression means has outward restraining means for restraining radially outward extension of the compressible seal, whereby when the seal is compressed it extends radially inward and engages the member.

15

15. A system for anchoring a brain stimulation or fluid catheter member within a cranial burr hole in a patient, comprising:
baseplate means securable to the patient's skull for providing a central lumen through the burr hole, the baseplate means having a receiving area;
compression means positioned in the receiving area and having a central lumen therethrough for providing a radial compression force against the member when it is positioned through the baseplate lumen and the compression means lumen.

20

16. The system as described in claim 15 wherein the compression means comprises a compressible seal and a compression screw, the screw being configured to cause inward radial expansion of the seal when it is screwed toward the seal.

25

17. A brain stimulation system comprising:
an electrical pulse generator;
a lead having a proximal end and a distal end, the proximal end having a connector to connect the lead to the electrical pulse generator, the distal end having at least one electrode to connect the lead to the brain;
means for anchoring the lead to a skull of a patient, the means for anchoring having baseplate means for fitting into and securing to the skull, the

WO 98/08554

PCT/US97/14931

15

baseplate means having an axial lumen extending therethrough configured to receive the lead, and an upper portion having a receiving cavity, and

fixation means positioned within the receiving cavity for fixing the lead to the baseplate means, the fixation means comprising a compressible seal

5 ~~having a central lumen aligned with the axial lumen and configured for snugly~~ receiving the lead therethrough, and compressing means for holding the seal under compression, whereby the seal central lumen is compressed to fixedly engage the lead.

10 18. The system as described in claim 17, wherein the baseplate means comprises at least one slot extending radially from the axial lumen, and further comprising connection means for providing a 90 degree path from the axial lumen into the slot, whereby after the lead has been fixed by the fixation means it can be positioned through the slot so as to exit the baseplate at about 90 degrees relative to the baseplate lumen.

15 19. The system as described in claim 18, wherein the compression seal is made of silicone rubber.

20 20. The system as described in claim 19, further comprising right angle guide means for guiding the lead at a right angle to the axial lumen, and securing means for securing the guide means to the baseplate means.

21. The system as described in claim 17, wherein the lead is terminated just above the fixation means, and comprising connector means for electrically and mechanically connecting an extender lead to the lead just above the fixation means.

25 22. The system as described in claim 21, wherein the baseplate means upper portion has a pathway for receiving the connector means.

23. The system as described in claim 22, wherein the compression means has outward restraining means for restraining radially outward extension of the compressible seal, whereby when the seal is compressed it extends radially inward and engages the member.

WO 98/08554

PCT/US97/14931

16

24. A system for delivering therapy to a patient's brain comprising:
a device to emit a medical therapy;

a member having a first end and a second end, the first end coupled to
the device, the second end coupled to a patient's brain, the member transmitting the
emitted medical therapy from the device to the patient's brain

means for anchoring the member to a skull of a patient, the means for
anchoring having baseplate means for securing to the skull, the baseplate means
having an axial lumen extending therethrough configured to receive the member;

means for fixing the member to the baseplate means, the means for
fixing positioned within baseplate means, the means for fixing comprising a
compressible seal having a central lumen aligned with the axial lumen and configured
for snugly receiving the member therethrough, and compressing means for holding the
seal under compression, whereby the seal central lumen is compressed to fixedly
engage the member.

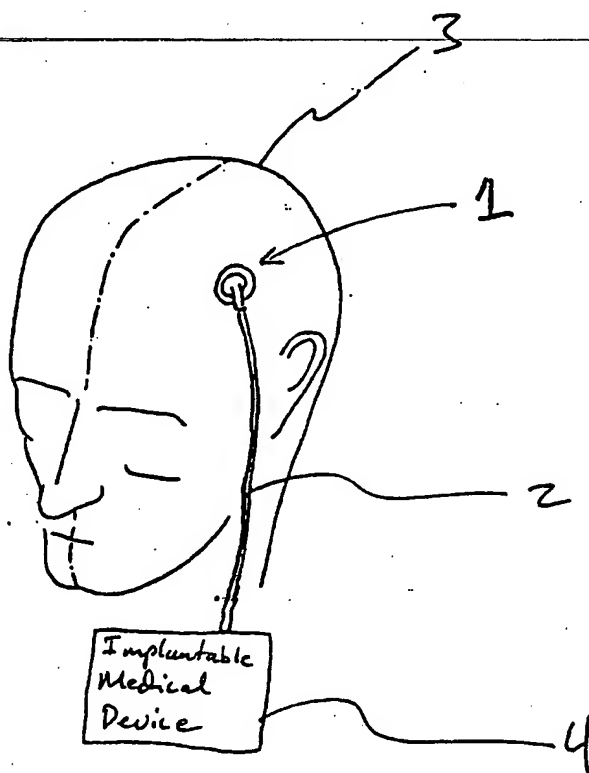
25. The system as described in claim 24, wherein the member is a
medical electrical lead and the device is an implantable pulse generator.

26. The system as described in claim 24, wherein the member is a
catheter and the device is an implantable drug administration system.

WO 98/08554

PCT/US97/14931

1/4

**Fig. 1**

WO 98/08554

PCT/US97/14931

2/4

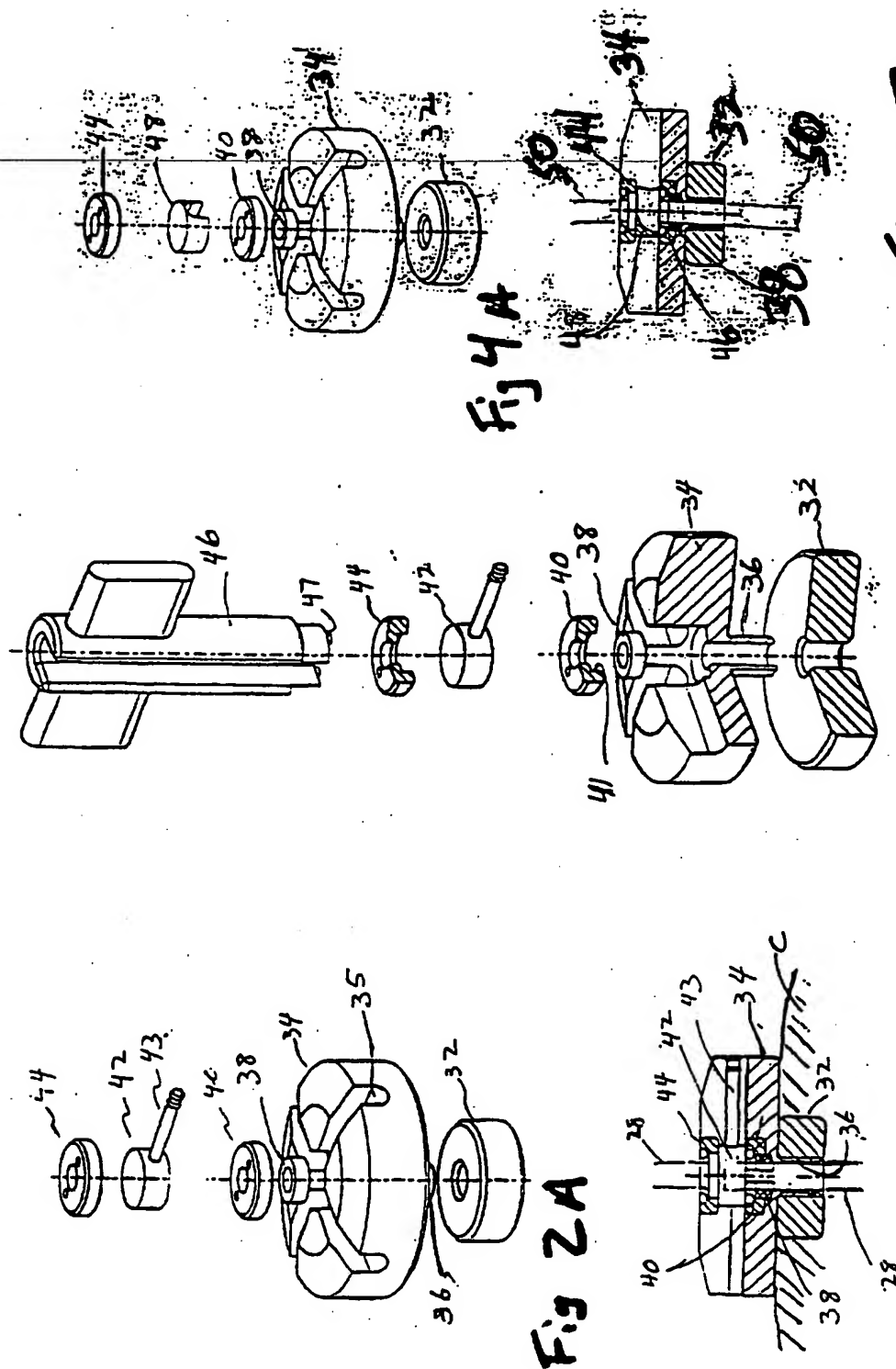


Fig 4B

Fig 3

Fig 2B

Fig 2A

WO 98/08554

3/4

PCT/US97/14931

3/4

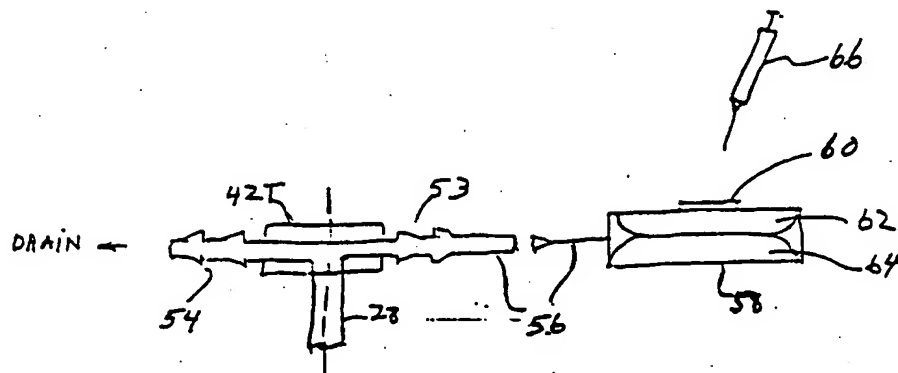


Fig 5

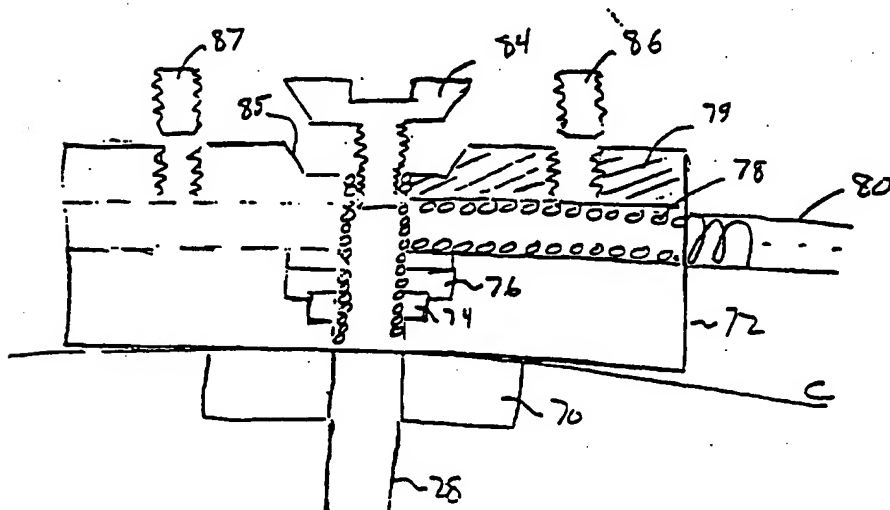


Fig 6

WO 98/08554

PCT/US97/14931

4/4

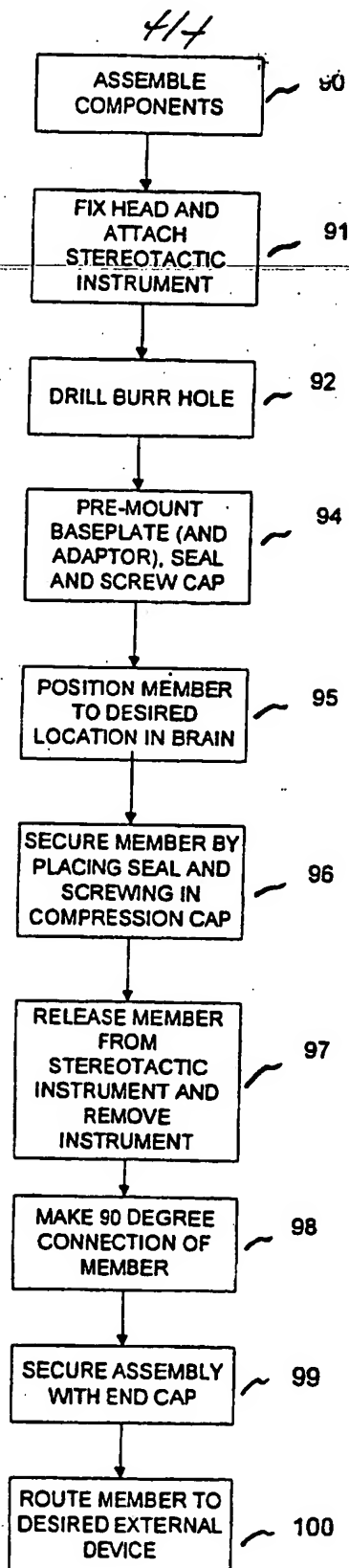


Fig. 7

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/14931

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 6 A61M1/00 A61B5/0478

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61M A61B A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	US 2 969 058 A (PARTON) 24 January 1961 see the whole document ---	1,2 4,15,16
Y A	US 4 903 707 A (KNUTE WALLACE L ET AL) 27 February 1990 see column 3, line 43 - line 58; figure 4 ---	4,15,16 6-8,14
X	US 5 464 446 A (DREESSEN CHRIT ET AL) 7 November 1995	8,10,11, 14,17, 19,20, 24-26
Y A	see column 2, line 58 - column 3, line 9 see column 3, line 31 - column 4, line 52; figures --- -/-	9 5

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"A" document member of the same patent family

Date of the actual completion of the international search

4 December 1997

Date of making of the international search report

15/12/1997

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
 Fax: (+31-70) 340-3016

Authorized officer

Villeneuve, J-M

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 97/14931

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
Y	US 4 245 645 A (ARSENAULT PIERRE-MICHEL ET AL) 20 January 1981	9
A	see column 5, line 38 - column 6, line 50; figures 8,9	5
A	US 3 964 470 A (TROMBLEY MICHAEL A) 22 June 1976	
A	US 4 328 813 A (RAY CHARLES D) 11 May 1982	
A	US 4 781 693 A (MARTINEZ FELIX J ET AL) 1 November 1988	
A	EP 0 402 571 A (PUDENZ SCHULTE MED RES) 19 December 1990	
A	US 3 115 140 A (VOLKMAN) 24 December 1963	

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/14931

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2969058 A	24-01-61	NONE	
US 4903707 A	27-02-90	NONE	
US 5464446 A	07-11-95	DE 4434741 A JP 7163667 A NL 9401665 A	13-04-95 27-06-95 01-05-95
US 4245645 A	20-01-81	CA 1065969 A	06-11-79
US 3964470 A	22-06-76	NONE	
US 4328813 A	11-05-82	DE 3141459 A FR 2492251 A	16-06-82 23-04-82
US 4781693 A	01-11-88	EP 0143518 A JP 60077766 A	05-06-85 02-05-85
EP 0402571 A	19-12-90	US 4995856 A CA 2008219 A,C DE 69003457 D DE 69003457 T JP 1828529 C JP 3026273 A JP 5036066 B	26-02-91 14-12-90 28-10-93 20-01-94 15-03-94 04-02-91 28-05-93
US 3115140 A	24-12-63	NONE	